



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Patent Application of
SHIBATA et al.

Serial No. 09/920,296

Filed: August 2, 2001

For: BROADCAST SIGNAL RECEIVING SYSTEM

Atty. Ref.: 1883-41

Group: 2617

Examiner: M. Van Handel

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February 8, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying Forms PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

02/09/2006 SZENDIEI 00000010 09920296 180.00 OP
01 FC:1806

Applicants have checked the appropriate boxes below.

1. ☐ This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper as a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose.

2. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- c. ☐ Attached is our Check in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

3. ☒ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our check for \$180.00 in payment of the fee under 37 C.F.R. § 1.17(i).

- a. ☒ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- c. ☐ For purposes of patent term adjustment under 37 C.F.R. 1.704, each item of information contained in the Information Disclosure Statement was cited in communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.704(d).
4. ☐ Relevance of the non-English language document(s) is discussed in the present specification.
5. ☒ The document(s) was/were cited in an office action dated November 8, 2005 in corresponding Japanese application No. 2000-303756. A copy of the office action is attached for the Examiner's information. U.S. Patent No. 5,708,461 which is identified in the office action as already of record in this application. Consequently, this document is not identified on the attached PTO-1449 form.
6. ☐ A concise explanation of the relevance of the non-English language document(s) appears below:
7. ☐ The Examiner's attention is directed to co-pending U.S. Patent Application Nos., which are directed to related technical subject matter. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

8. ☐ Copies of the documents were cited by or submitted to the Office in Application No. , filed , which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 1883-41.

Respectfully submitted,

NIXON & VANDERHYTE P.C.

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**INFORMATION DISCLOSURE
CITATION**

(Use several sheets if necessary)



Atty. Docket No.

Serial No.

1883-41

09/920,296

Applicant

SHIBATA et al.

Filing Date

TC/A.U.

August 2, 2001

2617

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN-THREAT DOCUMENTS							TRANSLATION	
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO	
	2 188 515 A	09/1987	GB					
	05-336472 A	12/1993	JP			ABSTRACT		
	06-181590 A	06/1994	JP			ABSTRACT		
	3037909	11/1996	JP			PARTIAL		
	08-314979	11/1996	JP			ABSTRACT		
	11298879 A	10/1999	JP			ABSTRACT		
	2000-115664 A	04/2000	JP			ABSTRACT		
	2000-090512 A	03/2000	JP			ABSTRACT		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	Office Action in JP 2000-303756 dated November 8, 2005.
	"How to capture the market of domestic information wiring", Telecommunication, Ric Telecom Co., Ltd., July 25, 2000, Vol. 17, No. 8, pp. 26-40. (partial translation)
	Minoru SHIKADA et al. "Next Generation Home Network", NEC TECHNICAL JOURNAL, August 25, 1999, Vol. 52, No. 8, pp. 58-61. (partial translation)

*Examiner		Date Considered	
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.